

ally IRA TRANSFER AUTHORIZATION

For transfers from Ally Invest to Ally Bank, return this form with any attached documents using one of these methods:

 **Online**

Log in at ally.com and select Email, or log in on the Ally mobile app and select . Attach the form to your message.

 **Mail**

Ally Bank Retirement Services
P.O. Box 951
Horsham, PA 19044-9917

 **Fax**

Subject Line: Retirement Services
Fax Number: 866-699-2969

 **Expedited Delivery**

Ally Bank Retirement Services
1100 Virginia Drive, Suite 150
Fort Washington, PA 19034-3276

For transfers from Ally Bank to Ally Invest, return this form with any attached documents using one of these methods:

 **Online**

Log in at ally.com and select Investments, then choose Document Upload.

 **Mail**

Ally Invest
PO Box 30248
Charlotte, NC 28230

 **Fax**

Subject Line: Operations
Fax Number: 866-699-0563

 **Expedited Delivery**

Ally Invest
601 S Tyron St
Charlotte, NC 28202

Complete and submit a separate form for each account having funds transferred. All funds sent via wire. Transferring funds in an IRA account may take up to 10 business days.

IRA Owner

Your name exactly as it appears in your account statement. For IRAs, state type, for example "Jane Smith – Roth IRA"

FIRST NAME	M.I.	LAST NAME / SUFFIX	SOCIAL SECURITY	DATE OF BIRTH
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EMAIL ADDRESS	PERSONAL PHONE	WORK PHONE
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If inherited IRA funds or if funds are coming from a decedent's IRA:

NAME OF ORIGINAL OWNER	SOCIAL SECURITY	DATE OF BIRTH
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RELATIONSHIP	DATE OF DEATH
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Transfer Instructions

Account Type – must match between Ally Bank and Ally Invest (SELECT ONLY ONE):

Traditional IRA	Inherited IRA	Rollover IRA
Roth IRA	Inherited Roth IRA	Simple IRA
SEP IRA		

Transfer from (SELECT ONLY ONE):

Ally Bank - *for this option must include an Ally Bank Wire form attached*
1100 Virginia Drive, Suite 150
Fort Washington, PA 19304-3276

Ally Invest
601 S Tyron St
Charlotte, NC 28202

ACCOUNT NUMBER

Account

Transfer to (SELECT ONLY ONE):

Ally Bank
1100 Virginia Drive, Suite 150
Fort Washington, PA 19304-3276

Ally Invest
601 S Tyron St
Charlotte, NC 28202

ACCOUNT NUMBER

Account

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Securities products and services are offered through Ally Invest Securities LLC, member FINRA/SIPC. Ally Bank and Ally Invest Securities are wholly owned subsidiaries of Ally Financial Inc. Securities products are **NOT FDIC INSURED, NOT BANK GUARANTEED, and MAY LOSE VALUE.**

Accounts cleared through Apex Clearing Corp. www.apexclearing.com – Member FINRA/SIPC.

Questions? Call 1-855-800-2559 or visit ally.com

Ally Bank, Member FDIC

Deposit products are offered by Ally Bank, Member FDIC
Questions? Call 1-877-247-2559 or visit ally.com

02/2025

Transfer Instructions (continued)

Transfer Amount (SELECT ONLY ONE):

Full Account Balance

TRANSFER AMOUNT

Partial Account Balance of exactly \$

(MUST PROVIDE EXACT AMOUNT, NOT "TOTAL" or "ALL")

Signatures

Ally Invest

Be advised that, if I am transferring a retirement account, I have amended my retirement plan and have adopted a new retirement plan with Apex Clearing Corp. as successor trustee. Pursuant to said amendment, please transfer all assets in my account to such successor trustee.

I understand that to the extent any assets in my account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by New York Stock Exchange Rule 412 or similar rule of the Financial Industry Regulatory Authority (FINRA) or other designated examining authority. Unless otherwise indicated, I authorize you to liquidate any non-transferable proprietary money market fund assets that are part of my account, deduct any outstanding fees due you, and transfer the resulting credit balance to Apex Clearing on behalf of Ally Invest or to the successor trustee. I understand that if I choose a method of disposition of such assets other than liquidation and transfer, I may become liable for payment of taxes and penalties with respect to such assets. I also understand that the transferring firm will contact me with respect to the disposition of any other assets in my account that are not transferable. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy any outstanding fees due you. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor trustee to transfer them in its name for the purpose of sale, when and as directed by me. Upon receiving a copy of this transfer instruction, the carrying organization will cancel all open orders for my account on its books.

Ally Bank

I certify that, to the best of my knowledge, the information provided on this form is true and correct and may be relied on by the IRA Custodian. I understand that this transaction may be subject to fees, taxes, and/ or penalties. Due to the important tax consequences of this transaction, I agree to seek the advice of a legal or tax professional, as needed. The IRA Custodian has not provided me with any legal or tax advice, and I assume full responsibility for this transaction. I will not hold the IRA Custodian liable for any adverse consequences that may result from this transaction. Ally Bank as successor IRA Custodian agrees to accept the transferred assets and to deposit them into an IRA that meets the IRS requirements.

ACCOUNT OWNER'S SIGNATURE

DATE



DOMESTIC IRA WIRE TRANSFER REQUEST

Ally Bank only offers domestic wire transfer services

This form is intended for IRA Wire Transfer Requests only and should be included with your IRA documents using the instructions at the top of Page 1.

Wire transfer requests received and verified:

- before 3 pm ET on a business day, will process that same day
- after 3 pm ET on a business day, will process the next business day

The wire transfer fee is \$20.

When you wire money from your Ally Bank account to your Ally Invest account, you'll automatically receive a reimbursement for the \$20 wire transfer fee in your Ally Invest account within two business days.

Type or print in capital letters the requested information and sign the form.

Account Owner

FIRST NAME	M.I.	LAST NAME / SUFFIX	ACCOUNT NUMBER
RESIDENTIAL STREET ADDRESS (NO PO BOX, BUS., OR MAIL DROP)		CITY	STATE ZIP

Wire Details

NOTE: Ally Bank doesn't accept wires to debit or credit card accounts.

SEND DATE	WIRE AMOUNT
RECEIVING BANK ROUTING NUMBER (INTERMEDIARY BANK IF APPLICABLE)	RECEIVING BANK NAME (INTERMEDIARY BANK IF APPLICABLE)
RECEIVING BANK STREET ADDRESS (INTERMEDIARY BANK IF APPLICABLE)	CITY STATE ZIP
BENEFICIARY BANK (IF APPLICABLE)	BENEFICIARY BANK ACCOUNT NUMBER (IF APPLICABLE)
BENEFICIARY BANK STREET ADDRESS (IF APPLICABLE)	CITY STATE ZIP
SPECIAL DELIVERY INSTRUCTIONS (OPTIONAL)	

Recipient

NAME	ACCOUNT NUMBER
RECIPIENT STREET ADDRESS	CITY STATE ZIP



DOMESTIC IRA WIRE TRANSFER REQUEST

Ally Bank only offers domestic wire transfer services

Signature

AUTHORIZATION AND AGREEMENT

A wire transfer request is known as a "payment order" under Article 4A of the Uniform Commercial Code.

1. As a security procedure, Ally Bank ("we") may verify that you authorized this payment order by calling you to confirm that you made this request.
We'll generally call you based on the dollar amount of this payment order or if we need to further verify your request. You agree that this "callback" security procedure is commercially reasonable and meets your security requirements. We aren't liable for our refusal to honor any payment order if we can't conclude ourselves that you requested the payment order.
2. You must ensure that the account number of the beneficiary and the bank routing number of the beneficiary's bank are **absolutely accurate**. Submitted wire requests can't be modified. All banks process and post payment orders by the account number of the beneficiary and by the bank's routing number and not by the name of the beneficiary or by the name of the beneficiary's bank. **We won't verify the accuracy of any account number or routing number you provide.** If using an intermediary bank, you're responsible for providing the accurate wiring details as instructed by the recipient/beneficiary bank.
3. We're required by the Office of Foreign Assets Control (OFAC) to withdraw the amount of your wire from your account and delay or not process payment orders (a) to beneficiaries listed on the Specially Designated National lists from the U.S. Department of Treasury, or (b) for any reason related to an Executive Order of the President, Foreign Governmental Embargoes/ Sanctions, or directive of the U.S. Department of Treasury. As a regulatory procedure, we may contact you for additional information concerning your request.
4. You're responsible for providing the necessary information. We won't release your money until the information request is satisfied.
5. Submitted wire requests can't be modified and we can't revoke or cancel a payment order once it has been sent and we aren't liable to you if we can't recover any money already transferred.
6. We aren't liable for the insolvency, neglect, misconduct, mistake, default or delay of any other bank, entity or person whether or not that other bank, entity or person is our agent.
7. Our liability for failure to follow your instructions is limited to the amount of any payment order lost plus incidental expenses and interest. In no event are we liable for any present or future indirect or consequential damages, punitive damages or special damages, whether or not we were first advised of the possibility of such damages. We reserve the right to reject any payment order without notice for any reason, including, but not limited to, the lack of sufficient available money in the account to be charged, fraud or scam concerns, concerns regarding funding transaction collectability, or no response to call back security procedures.
8. You must notify us in writing of any error, mistake or irregularity within 60 calendar days after the payment order was requested. Thereafter, we won't have any liability to you.
9. We don't send outgoing international wire transfers to beneficiaries located in other countries.
10. All payment orders, transactions and other matters concerning this Agreement will be governed by Article 4A of the Uniform Commercial Code as adopted by the State of Utah ("Utah Article 4A") and Subpart B of Federal Reserve Regulation J as promulgated by the Board of Governors of the Federal Reserve System. Utah Article 4A shall be applied without giving effect to principles of conflicts of law.

By signing below, I authorize this wire transfer request. I'm responsible for the accuracy of the information and agree that I haven't entered any debit or credit card numbers while setting up my wire.

ACCOUNT OWNER SIGNATURE

DATE