

Ally Invest Securities LLC – Order flow via Apex Clearing - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	94.87	94.87	0.00	0.00	0.00	0.02	17.8462	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	2.56	2.56	0.00	0.00	0.00	0.00	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	2.56	2.56	0.00	0.00	0.00	0.01	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See <https://public.s3.com/rule606/apex/> for Apex's 606a Reports.

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January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	29.63	29.63	0.00	0.00	0.00	17.89	18.0004	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	17.59	17.59	0.00	0.00	0.00	35.61	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	17.29	17.29	0.00	0.00	0.00	47.99	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	11.73	11.73	0.00	0.00	0.00	29.18	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	9.98	9.98	0.00	0.00	0.00	31.68	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	8.53	8.53	0.00	0.00	0.00	16.39	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	5.26	5.26	0.00	0.00	0.00	7.61	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	54.76	54.76	0.00	0.00	0.00	0.37	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	15.48	15.48	0.00	0.00	0.00	0.20	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	11.90	11.90	0.00	0.00	0.00	0.15	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	9.52	9.52	0.00	0.00	0.00	0.29	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	3.57	3.57	0.00	0.00	0.00	0.03	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	2.38	2.38	0.00	0.00	0.00	0.03	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	2.38	2.38	0.00	0.00	0.00	0.01	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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February 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.36	33.36	0.00	0.00	0.00	38.02	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	16.76	16.76	0.00	0.00	0.00	45.67	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	13.83	13.83	0.00	0.00	0.00	66.75	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	12.73	12.73	0.00	0.00	0.00	29.18	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	11.23	11.23	0.00	0.00	0.00	16.73	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	8.70	8.70	0.00	0.00	0.00	26.17	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	3.40	3.40	0.00	0.00	0.00	7.42	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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February 2026

Options

Summary

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-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

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Virtu Americas, LLC	77.59	77.59	0.00	0.00	0.00	0.17	17.9895	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	6.90	6.90	0.00	0.00	0.00	0.10	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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G1 Execution Services, LLC	5.17	5.17	0.00	0.00	0.00	0.12	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	3.45	3.45	0.00	0.00	0.00	0.13	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	3.45	3.45	0.00	0.00	0.00	0.07	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	1.72	1.72	0.00	0.00	0.00	0.00	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	1.72	1.72	0.00	0.00	0.00	0.01	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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CITADEL SECURITIES LLC:

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Jane Street Capital:

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Hudson River Trading (HRT):

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March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	29.76	29.76	0.00	0.00	0.00	47.94	18.0002	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	18.47	18.47	0.00	0.00	0.00	38.10	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	15.57	15.57	0.00	0.00	0.00	33.10	18.0002	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	12.67	12.67	0.00	0.00	0.00	29.45	18.0005	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	11.54	11.54	0.00	0.00	0.00	29.46	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	7.82	7.82	0.00	0.00	0.00	26.52	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	4.16	4.16	0.00	0.00	0.00	7.71	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

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GTS SECURITIES LLC:

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CITADEL SECURITIES LLC:

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Jane Street Capital:

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G1 Execution Services, LLC:

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March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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