

Ally Invest Consent to Receive Information Electronically and Use Electronic Signatures



With your consent, Ally Invest may provide account information including all disclosures, agreements, and other documents relating to your account(s) electronically by:

- Displaying it on this website
- Displaying it on your mobile device
- Sending you the account information in a message to a secure mailbox on our website
- Sending emails to your email address of record
- Providing you with notices directing you to a location where you can read and print such information
- Asking you to print or download the account information and retain it for your records

Your consent will apply to all Ally Invest account information we provide to you. Examples of Ally Invest account information that could be provided electronically under this Consent include:

- Form CRS – Ally Invest Securities
- Ally Invest Securities Customer Agreement
- Option Agreement
- Margin Agreement
- Securities Income Program Disclosure
- Securities Income Program Agreement
- IRA Disclosure
- Privacy Notices, including Privacy Notices for California Residents
- Notices for change-in-terms to all of the above agreements, documents, and disclosures
- Other account-related information, including notices, disclosures, current and future account statements, regulatory communications (such as prospectuses, proxy solicitations, and privacy notices), trade confirmations, and any other information, documents, data, and records regarding your account and the services delivered or provided by Ally Invest, the issuers of the securities and/or other property in which you invest, and any other parties.

This Consent applies to any Ally Invest account information that you or we elect at any time to provide electronically. We may, however, elect to provide account information using paper even if you consent to receive it electronically. For example, we may send you paper tax forms after your account is opened.

Your consent also permits us to use electronic signatures and obtain them from you as part of our transactions with you. Before you decide whether to consent to receive Ally Invest account information electronically and use electronic signatures, you should also know:

- Your consent to receive information electronically, and to use electronic signatures, is effective immediately and will remain in effect unless and until you withdraw it.
- You can obtain paper copies of Ally Invest account information we provide electronically at any time. To obtain paper copies, simply call us at 1-855-880-2559, email us at support@invest.ally.com, or chat with a member of our customer service team. You may be charged a fee to receive paper statements
- You can withdraw your consent to receive account information electronically at any time. If you withdraw your consent, you may not be able to access your account online, you won't be able to receive certain electronic communications about your account, you will only receive paper account statements, and your account may be closed, restricted, or terminated.. Your withdrawal of consent may take up to three business days to process. To withdraw your consent, simply email us at support@invest.ally.com, call us at 1-855-880-2559, or chat with a member of our customer service team.
- You can update your email address after your account is opened by logging in to online banking and changing it under Profile and Settings

To receive the Ally Invest account information electronically and retain it, you need:

1. A computer or mobile device with a connection to the Internet
2. An email address
3. A printer, hard drive or other storage device for downloading and retaining the account information
4. Adobe Acrobat Reader or other software that allows you to view and print PDF Documents

Desktop browser:

- Chrome 100 or higher
- Firefox 99 or higher
- Safari 15.3 or higher
- Microsoft Edge 101 or higher

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Mobile and tablet browsers:

- Android (12 or higher)
- iOS (15 or higher)
- Native browsers for the supported Android and iOS operating systems, plus the latest generally available versions of Google Chrome and Opera.

Tablet app-based services:

- Android (12 or higher)
- iOS (15 or higher)
- Windows 10

Mobile app-based services:

- Android (12 or higher)
- iOS (15 or higher)

We will notify you of software and/or hardware changes that could prevent you from accessing or retaining records. At that time, you will have the right to withdraw your consent.

Please print or download this Consent and make sure you can view it. If you can't view the Consent, please download a free Adobe Reader. Visit: get.adobe.com/reader/ or call us at 1-855-880-2559.