

SEC Rule 606 Report - Ally Invest Securities LLC – 3rd Quarter, 2019
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

NYSE Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	44.39%	40.26%	46.84%	44.13%
NYSE Arca	0.43%	0.00%	0.62%	1.01%
Virtu Financial, Inc	24.63%	26.71%	23.30%	25.75%
Wolverine Securities, LLC	30.50%	33.03%	29.16%	28.95 %
Total Orders	99.98%	34.81%	59.32%	5.87%

NASDAQ Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	45.16%	40.45%	47.67%	43.32%
NYSE Arca	0.65%	0.00%	0.96%	0.76%
Virtu Financial, Inc	23.64%	26.02%	22.28%	25.37%
Wolverine Securities, LLC	30.46%	33.53%	28.95%	30.32%
Total Orders	100.00%	31.03%	62.60%	6.37%

Securities Listed on NYSE MKT/ Regional Exchanges				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities LLC	46.14%	39.91%	49.87%	40.39%
NYSE Arca	0.28%	0.00%	0.44%	0.06%
Virtu Financial, Inc	23.18%	26.00%	21.39%	27.13%
Wolverine Securities, LLC	30.24%	34.09%	28.06%	32.14%
Total Orders	99.98%	33.13%	62.34%	4.53%

Exchange Listed Options				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	29.03%	30.99%	29.01%	28.29%
Susquehanna Capital Group	33.53%	35.43%	33.28%	33.76%
Wolverine Execution Services, LLC	37.44%	33.59%	37.71%	37.95%
Total Orders	100.00%	7.76%	73.59%	18.64%

3rd Quarter 2019

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment averaging less than \$0.0020 per share from Citadel Securities, LLC for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC received payment averaging less than \$0.0024 per share from Virtu Financial, Inc for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC received payment averaging less than \$0.0023 per share from Wolverine Securities, LLC for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC was charged fees averaging less than \$0.0023 per share and received payment averaging less than \$0.001 per share from NYSE ARCA, for certain orders routed to the exchange.

Exchange-Listed Options:

Ally Invest Securities LLC received payment averaging less than \$0.45 per executed contract from Citadel Securities, LLC.

Ally Invest Securities LLC received payment averaging less than \$0.52 per executed contract from Susquehanna International Group.

Ally Invest Securities LLC received payment averaging less than \$0.50 per executed contract from Wolverine Execution Services, LLC.