

SEC Rule 606 Report - Ally Invest Securities LLC [MBTS] - 3rd Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---------------------------|-----------|------------|-----------|-----------|
| Total | 100.00 | 10.52 | 36.91 | 52.57 |
| VIRTU Americas LLC | 87.08 | 94.35 | 94.87 | 80.16 |
| Archipelago LLC | 9.10 | 0.00 | 2.54 | 15.52 |
| Citadel Securities | 2.60 | 5.65 | 2.25 | 2.25 |
| Nasdaq Execution Services | 1.16 | 0.00 | 0.21 | 2.06 |

97.54% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---------------------------|-----------|------------|-----------|-----------|
| Total | 100.00 | 13.74 | 30.37 | 55.89 |
| VIRTU Americas LLC | 85.90 | 94.47 | 94.04 | 79.37 |
| Archipelago LLC | 9.03 | 0.00 | 2.35 | 14.88 |
| Citadel Securities | 3.15 | 5.53 | 3.05 | 2.62 |
| Nasdaq Execution Services | 1.90 | 0.00 | 0.53 | 3.11 |

97.40% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---------------------------|-----------|------------|-----------|-----------|
| Total | 100.00 | 9.74 | 46.76 | 43.50 |
| VIRTU Americas LLC | 87.12 | 93.86 | 96.28 | 75.75 |
| Archipelago LLC | 6.87 | 0.00 | 1.66 | 14.02 |
| Nasdaq Execution Services | 4.32 | 0.00 | 0.99 | 8.85 |
| Citadel Securities | 1.69 | 6.14 | 1.07 | 1.37 |

98.54% of total customer orders were non-directed orders.

Exchange-Listed Options

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---------------------------|-----------|------------|-----------|-----------|
| Total | 100.00 | 2.51 | 94.92 | 2.57 |
| Wolverine Execution Svc., | 95.79 | 95.25 | 95.85 | 94.10 |
| Citadel Securities | 4.21 | 4.75 | 4.15 | 5.90 |

100.00% of total customer orders were non-directed orders.

© Copyright 2017 CoreOne Technologies.

All Rights Reserved.

3rd Quarter 2017

Ally Invest Securities LLC [MBTS] Disclosure Information: Material Aspects of Relationships with Route Venues

Exchange-Listed Options:

Ally Invest Securities LLC [MBTS] received payment for orders routed to Citadel Execution Services averaging less than \$0.50 per contract.

Ally Invest Securities LLC [MBTS] received payment for orders routed to Susquehanna International Group averaging less than \$0.50 per contract.

Ally Invest Securities LLC [MBTS] received payment for orders routed to Wolverine Execution Services averaging less than \$0.55 per contract.

Equities:

Ally Invest Securities LLC [MBTS] received payment from Citadel Execution Services for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Virtu Americas LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Wolverine Securities for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Apex Clearing Corporation for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, averaging less than \$0.002 per share.