

SEC Rule 606 Report - Ally Invest Securities LLC [MBTS] - 4th Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	14.24	28.64	57.12
VIRTU Americas LLC	46.56	50.06	50.08	43.91
Citadel Securities	42.16	49.90	49.14	36.73
Archipelago LLC	9.72	0.04	0.29	16.86
Nasdaq Execution Services	1.55	0.00	0.45	2.48

95.49% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	12.76	33.62	53.62
VIRTU Americas LLC	45.94	49.64	50.03	42.49
Citadel Securities	41.36	50.27	49.40	34.19
Archipelago LLC	10.42	0.00	0.26	19.27
Nasdaq Execution Services	2.27	0.03	0.29	4.05

96.41% of total customer orders were non-directed orders.

## Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	16.11	33.53	50.36
VIRTU Americas LLC	45.26	50.07	50.17	40.45
Citadel Securities	39.05	49.93	48.72	29.13
Archipelago LLC	10.45	0.00	0.07	20.71
Nasdaq Execution Services	5.19	0.00	0.90	9.71

99.38% of total customer orders were non-directed orders.

## Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	3.77	92.18	4.05
Wolverine Execution Svc.,	50.87	50.09	51.00	48.68
Citadel Securities	49.13	49.91	49.00	51.32

100.00% of total customer orders were non-directed orders.

4th Quarter 2017

Ally Invest Securities LLC [MBTS] Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC [MBTS] received payment from Citadel Execution Services for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Virtu Americas LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Wolverine Securities for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from Apex Clearing Corporation for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC [MBTS] received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, averaging less than \$0.002 per share.

Exchange-Listed Options:

Ally Invest Securities LLC [MBTS] received payment for orders routed to Citadel Execution Services averaging less than \$0.50 per contract.

Ally Invest Securities LLC [MBTS] received payment for orders routed to Wolverine Execution Services averaging less than \$0.55 per contract.