

SEC Rule 606 Report - Ally Invest Securities LLC [MBTS] - 1st Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	18.89	25.36	55.76
Citadel Securities	42.32	52.28	44.96	37.75
VIRTU Americas LLC	39.49	37.74	44.62	37.75
Archipelago LLC	8.70	0.00	1.18	15.06
WOLVERINE SECURITIES, LLC	7.84	9.97	8.97	6.60

95.61% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	15.51	29.32	55.17
Citadel Securities	42.75	51.50	47.62	37.71
VIRTU Americas LLC	37.60	36.07	41.48	35.97
WOLVERINE SECURITIES, LLC	8.85	12.43	9.25	7.64
Archipelago LLC	8.78	0.00	1.24	15.25

91.66% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	14.64	34.90	50.46
VIRTU Americas LLC	39.88	37.32	44.07	37.72
Citadel Securities	39.09	51.52	45.77	30.86
WOLVERINE SECURITIES, LLC	8.92	11.16	9.49	7.88
Archipelago LLC	7.99	0.00	0.51	15.48

99.04% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	5.01	92.62	2.37
Wolverine Execution Svc.,	50.90	48.31	51.13	47.29
Citadel Securities	49.10	51.69	48.87	52.71

100.00% of total customer orders were non-directed orders.

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1st Quarter 2018

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Execution Services averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services averaging less than \$0.55 per contract.

Equities:

Ally Invest Securities LLC received payment from Citadel Execution Services for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.

Ally Invest Securities LLC received payment from Virtu Americas LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, in the amount of \$0.002 per share or less.