

SEC Rule 606 Report - Ally Invest Securities LLC - 1st Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	13.27	27.97	58.76
Citadel Securities	49.72	50.30	51.30	48.84
WOLVERINE SECURITIES, LLC	27.05	32.55	23.66	27.43
VIRTU Americas LLC	22.09	17.15	25.04	21.80

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	12.28	29.54	58.19
Citadel Securities	49.17	50.32	50.52	48.24
WOLVERINE SECURITIES, LLC	27.84	33.04	25.65	27.86
VIRTU Americas LLC	21.16	16.63	23.83	20.76

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	14.57	32.27	53.16
Citadel Securities	48.38	49.79	50.82	46.51
WOLVERINE SECURITIES, LLC	26.62	31.81	25.46	25.91
VIRTU Americas LLC	22.58	18.40	23.72	23.03

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	10.66	88.83	0.51
Citadel Securities	49.48	45.58	49.97	44.43
Wolverine Execution Svc.,	46.90	49.91	46.53	48.81
Susquehanna Financial Grp	3.62	4.51	3.49	6.76

100.00% of total customer orders were non-directed orders.

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1st Quarter 2018

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Execution Services averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna International Group averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services averaging less than \$0.55 per contract.

Equities:

Ally Invest Securities LLC received payment from Citadel Execution Services for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.

Ally Invest Securities LLC received payment from Virtu Americas LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, in the amount of \$0.002 per share or less.