

SEC Rule 606 Report - Ally Invest Securities LLC – 1st Quarter, 2019  
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

NYSE Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	22.53%	20.24%	24.06%	19.94%
NYSE Arca	0.83%	0.00%	1.38%	0.00%
Virtu Financial, Inc	38.79%	39.90%	37.90%	42.71%
Wolverine Securities, LLC	37.74%	39.86%	36.49%	37.33%
Total Orders	99.96%	36.12%	60.26%	3.62%

100.00% of total customer orders were non-directed orders.

NASDAQ Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	22.84%	19.21%	23.95%	18.35
NYSE Arca	0.80%	0.00%	1.23%	0.00%
Virtu Financial, Inc	40.06%	41.73%	39.06%	43.17%
Wolverine Securities, LLC	36.76%	39.07%	35.53%	38.42%
Total Orders	99.98%	31.50%	64.51%	3.99%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT/ Regional Exchanges				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities LLC	24.87%	20.57%	27.44%	24.14%
NYSE Arca	0.45%	0.00%	0.74%	0.00%
Virtu Financial, Inc	38.00%	40.03%	36.65%	40.48%
Wolverine Securities, LLC	36.38%	39.40%	34.66%	35.39%
Total Orders	99.94%	35.60%	60.66%	3.74%

100.00% of total customer orders were non-directed orders.

Exchange Listed Options				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	35.16%	33.68%	35.35%	31.20%
Susquehanna Capital Group	23.89%	25.95%	23.64%	28.70%
Wolverine Execution Services, LLC	40.94%	40.36%	41.01%	40.10%
Total Orders	100.00%	9.64%	89.78%	0.58%

100.00% of total customer orders were non-directed orders.

1st Quarter 2019

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment from Citadel Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from Virtu Financial, Inc for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, on average \$0.0024 per share or less.

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Securities, LLC averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna Capital Group averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services, LLC averaging less than \$0.55 per contract.