

SEC Rule 606 Report - Ally Invest Securities LLC – 2nd Quarter, 2018
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

NYSE Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	41.94%	43.80%	41.00%	39.38%
Wolverine Securities, LLC	35.18%	36.53%	34.38%	35.06%
Virtu Financial, Inc	20.03%	19.66%	19.90%	25.37%
Total Orders	99.75%	35.75%	60.32%	3.92%

100.00% of total customer orders were non-directed orders.

NASDAQ Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	41.31%	43.08%	40.37%	41.21%
Wolverine Securities, LLC	34.88%	36.50%	33.98%	35.27%
Virtu Financial, Inc	20.32%	20.41%	20.06%	23.23%
Total Orders	99.47%	33.24%	62.38%	4.38%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT/ Regional Exchanges				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities LLC	41.91%	43.77%	40.96%	40.68%
Wolverine Securities, LLC	35.39%	37.06%	34.48%	35.21%
Virtu Financial, Inc	19.19%	19.17%	18.96%	23.44%
Total Orders	99.94%	34.18%	62.28%	3.54%

100.00% of total customer orders were non-directed orders.

Exchange Listed Options				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Wolverine Execution Services, LLC	50.23%	49.75%	50.29%	49.57%
Citadel Securities, LLC	44.94%	45.06%	44.93%	44.30%
Susquehanna Capital Group	4.82%	5.19%	4.78%	6.13%
Total Orders	99.94%	9.30%	90.08%	0.62%

100.00% of total customer orders were non-directed orders.

2nd Quarter 2018

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment from Citadel Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from Virtu Financial, Inc for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, on average \$0.002 per share or less.

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Securities, LLC averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna Capital Group averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services, LLC averaging less than \$0.55 per contract.