

SEC Rule 606 Report - Ally Invest Securities LLC – 2nd Quarter, 2019
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

NYSE Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	28.35%	27.39%	29.01%	25.94%
NYSE Arca	0.98%	0.00%	1.59%	0.00%
Virtu Financial, Inc	31.65%	33.37%	30.48%	35.74%
Wolverine Securities, LLC	38.97%	39.24%	38.85%	38.32%
Total Orders	99.97%	34.60%	62.01%	3.39%

NASDAQ Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	28.52%	26.81%	29.49%	25.13%
NYSE Arca	0.80%	0.00%	1.21%	0.00%
Virtu Financial, Inc	31.95%	34.16%	30.76%	35.38%
Wolverine Securities, LLC	38.62%	39.03%	38.39%	39.43%
Total Orders	99.98%	30.00%	66.20%	3.80%

Securities Listed on NYSE MKT/ Regional Exchanges				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities LLC	31.92%	30.19%	33.12%	28.29%
NYSE Arca	0.28%	0.00%	0.45%	0.00%
Virtu Financial, Inc	30.09%	31.85%	28.79%	35.24%
Wolverine Securities, LLC	37.55%	37.96%	37.38%	36.43%
Total Orders	99.96%	35.17%	61.39%	3.44%

Exchange Listed Options				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	28.32%	28.47%	28.31%	26.99%
Susquehanna Capital Group	27.52%	29.85%	27.25%	30.14%
Wolverine Execution Services, LLC	44.16%	41.68%	44.44%	42.87%
Total Orders	100.00%	10.03%	89.46%	0.52%

2nd Quarter 2019

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment averaging less than \$0.0020 per share from Citadel Securities, LLC for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC received payment averaging less than \$0.0024 per share from Virtu Financial, Inc for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC received payment averaging less than \$0.0023 per share from Wolverine Securities, LLC for certain orders routed to the AMEX, NYSE, NASDAQ and OTC markets.

Ally Invest Securities LLC was charged fees averaging less than \$0.0031 per share and received payment averaging less than \$0.0018 per share from NYSE ARCA, for certain orders routed to the exchange.

Exchange-Listed Options:

Ally Invest Securities LLC received payment averaging less than \$0.46 per executed contract from Citadel Securities, LLC.

Ally Invest Securities LLC received payment averaging less than \$0.48 per executed contract from Susquehanna International Group.

Ally Invest Securities LLC received payment averaging less than \$0.48 per executed contract from Wolverine Execution Services, LLC.