

SEC Rule 606 Report - Ally Invest Securities LLC - 3rd Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	7.21	38.42	54.37
VIRTU Americas LLC	70.64	32.20	74.72	72.87
Citadel Securities	20.62	46.52	19.11	18.26
WOLVERINE SECURITIES, LLC	7.48	21.28	6.03	6.67

99.87% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	8.08	38.88	53.04
VIRTU Americas LLC	66.06	33.93	70.72	67.54
Citadel Securities	22.92	44.99	21.48	20.62
WOLVERINE SECURITIES, LLC	9.10	21.07	7.67	8.32

99.84% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	9.82	44.52	45.66
VIRTU Americas LLC	65.80	31.92	70.07	68.94
Citadel Securities	23.75	47.72	22.49	19.83
WOLVERINE SECURITIES, LLC	8.40	20.36	7.29	6.91

99.92% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	6.73	92.67	0.59
Citadel Securities	49.22	31.38	50.69	22.46
Wolverine Execution Svc.,	46.27	61.55	45.00	70.21
Susquehanna Financial Grp	4.51	7.07	4.30	7.33

100.00% of total customer orders were non-directed orders.

3rd Quarter 2017

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Execution Services averaging less than \$0.50 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna International Group averaging less than \$0.50 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services averaging less than \$0.55 per contract.

Equities:

Ally Invest Securities LLC received payment from Citadel Execution Services for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC received payment from Virtu Americas LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC received payment from Wolverine Securities for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC received payment from Apex Clearing Corporation for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, averaging less than \$0.002 per share.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, averaging less than \$0.002 per share.