

**SEC Rule 606 Report - Ally Invest Securities LLC – 3rd Quarter, 2018**  
**(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)**

<b>NYSE Listed Securities</b>				
<b>Orders Routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Virtu Financial, Inc	39.50%	39.92%	38.92%	44.29%
Citadel Securities, LLC	29.34%	30.23%	28.89%	27.56%
Wolverine Securities, LLC	28.39%	29.46%	27.76%	27.54%
<b>Total Orders</b>	<b>99.85%</b>	<b>37.16%</b>	<b>58.96%</b>	<b>3.88%</b>

100.00% of total customer orders were non-directed orders.

<b>NASDAQ Listed Securities</b>				
<b>Orders Routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Virtu Financial, Inc	39.45%	40.19%	38.80%	42.94%
Citadel Securities, LLC	28.79%	29.99%	28.09%	29.04%
Wolverine Securities, LLC	28.14%	29.44%	27.44%	27.42%
<b>Total Orders</b>	<b>99.88%</b>	<b>35.14%</b>	<b>61.02%</b>	<b>3.83%</b>

100.00% of total customer orders were non-directed orders.

<b>Securities Listed on NYSE MKT/ Regional Exchanges</b>				
<b>Orders Routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Virtu Financial, Inc	39.26%	40.17%	38.66%	40.63%
Citadel Securities LLC	29.02%	29.80%	28.52%	29.83%
Wolverine Securities, LLC	28.22%	29.51%	27.47%	28.41%
<b>Total Orders</b>	<b>99.97%</b>	<b>35.14%</b>	<b>61.21%</b>	<b>3.69%</b>

100.00% of total customer orders were non-directed orders.

<b>Exchange Listed Options</b>				
<b>Orders Routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Wolverine Execution Services, LLC	45.51%	44.73%	45.61%	44.69%
Citadel Securities, LLC	42.15%	41.81%	42.21%	39.56%
Susquehanna Capital Group	11.67%	12.90%	11.51%	14.99%
<b>Total Orders</b>	<b>100.00%</b>	<b>10.16%</b>	<b>89.24%</b>	<b>0.60%</b>

100.00% of total customer orders were non-directed orders.

2<sup>nd</sup> Quarter 2018

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment from Citadel Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from Virtu Financial, Inc for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.002 per share or less.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, on average \$0.002 per share or less.

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Securities, LLC averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna Capital Group averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services, LLC averaging less than \$0.55 per contract.