

SEC Rule 606 Report - Ally Invest Securities LLC – 4th Quarter, 2018
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

NYSE Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	28.08%	26.70%	29.17%	24.80%
NYSE Arca	1.09%	0.00%	1.86%	0.00%
Virtu Financial, Inc	39.21%	40.34%	38.14%	44.82%
Wolverine Securities, LLC	31.55%	32.97%	30.69%	30.39%
Total Orders	99.93%	37.99%	58.53%	3.48%

100.00% of total customer orders were non-directed orders.

NASDAQ Listed Securities				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	28.99%	26.81%	30.35%	26.39%
NYSE Arca	1.12%	0.00%	1.80%	0.00%
Virtu Financial, Inc	38.43%	40.40%	37.10%	42.57%
Wolverine Securities, LLC	31.31%	32.79%	30.51%	30.96%
Total Orders	99.94%	34.28%	62.10%	3.62%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT/ Regional Exchanges				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities LLC	29.45%	29.46%	31.39%	25.99%
NYSE Arca	0.42%	0.00%	0.70%	0.00%
Virtu Financial, Inc	38.42%	39.97%	37.24%	43.12%
Wolverine Securities, LLC	31.44%	33.56%	30.24%	30.89%
Total Orders	99.89%	35.41%	61.00%	3.59%

100.00% of total customer orders were non-directed orders.

Exchange Listed Options				
Orders Routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Citadel Securities, LLC	40.56%	39.51%	40.68%	39.09%
Susquehanna Capital Group	19.09%	20.81%	18.88%	22.51%
Wolverine Execution Services, LLC	40.35%	39.69%	40.44%	38.40%
Total Orders	100.00%	9.82%	89.63%	0.55%

100.00% of total customer orders were non-directed orders.

4th Quarter 2018

Ally Invest Securities LLC Disclosure Information: Material Aspects of Relationships with Route Venues

Equities:

Ally Invest Securities LLC received payment from Citadel Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from Virtu Financial, Inc for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from Wolverine Securities, LLC for certain orders placed on the AMEX, NYSE, NASDAQ and OTC, on average \$0.0024 per share or less.

Ally Invest Securities LLC received payment from NYSE ARCA, or NASDAQ for certain orders placed directly with the exchanges, on average \$0.0024 per share or less.

Exchange-Listed Options:

Ally Invest Securities LLC received payment for orders routed to Citadel Securities, LLC averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Susquehanna Capital Group averaging less than \$0.55 per contract.

Ally Invest Securities LLC received payment for orders routed to Wolverine Execution Services, LLC averaging less than \$0.55 per contract.